



Contract By Authorized Board

Commodity or Service: Compliance Audit Services For
Employee Reimbursement
Account (ERA) and Health
Savings Account (HSA) Benefit
Programs

Contract No./Request for Bid/Proposal No.: ETJ0004
Amendment 3 dated June 5, 2017

Authorized Board: Employee Trust Funds Board

Contract Period: January 30, 2017 through December 31, 2017

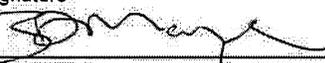
1. This Contract is entered into by and between the State of Wisconsin, Employee Trust Funds Board hereinafter referred to as the "Board" and the State of Wisconsin, Department of Employee Trust Funds (ETF) hereinafter referred to as the "Department", and Wipfli, LLP, hereinafter referred to as the "Contractor", whose address and principal officer appears on page 2. The Department is the sole point of contact for this Contract.
2. Whereby the Department of Employee Trust Funds agrees to direct the purchase and the Contractor agrees to supply the Contract requirements cited above in accordance with the State of Wisconsin standard terms and conditions of the request for proposal cited above, and in accordance with the Contractor's proposal dated October 8, 2009 hereby incorporated into this Contract by reference.
3. In connection with the performance of work under this Contract, the Contractor agrees not to discriminate against any employees or applicants for employment because of age, race, religion, color, handicap, sex, physical condition, developmental disability as defined in s.51.01(5), Wis. Stats., sexual orientation as defined in s.111.32(13m), Wis. Stats., or national origin. This provision shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor further agrees to take affirmative action to ensure equal employment opportunities. The Contractor agrees to post in conspicuous places, available for employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of the nondiscrimination clause.
4. Contracts estimated to be over fifty thousand dollars (\$50,000) require the submission of a written affirmative action plan. Contractors with an annual work force of less than fifty (50) employees are exempted from this requirement. Within fifteen (15) business days after the award of the Contract, the plan shall be submitted for approval to the Department. Technical assistance regarding this clause is provided by the Department of Employee Trust Funds, P.O. Box 7931, Madison, WI 53707-7931, 608.261.7952, or via e-mail at ETFPurchasement@etf.wi.gov.
5. The Business Associate Agreement is incorporated as part of this Contract and will be amended from time to time as Federal and State regulations require.
6. Amendment 1 is created as part of this contract to clarify information provided by the Contractor in the Contractor's proposal and bid.
7. Exhibit 1. – Time Line, is created as part of this contract to reflect the proposed dates and frequency of audits to be performed by the Contractor, in accordance with Section C, 1.4 of the RFP.
8. The Contract Amendment 2 dated January 30, 2017 and Exhibit 2 – Timeline and Scope of Work dated January 30, 2017 for a total cost shall not exceed \$25,000.
9. Attachment 1 Business Associate Agreement replaces Appendix G, Privacy of Personal Data Mitigation of Privacy Breach, in the Request for Proposal (RFP) dated August 24, 2009 and becomes effective when Contract Amendment 2 dated January 30, 2017 is fully executed.
10. This Contract Amendment 3 dated June 5, 2017 adds hours for additional compliance audit work that may be necessary for validating and testing in the amount up to \$5,000. The amount awarded in Section 8 above plus this additional amount shall not exceed a total of \$30,000.
11. For purposes of administering this Contract, the Order of Precedence is:
 - A). The Contract with Wipfli, LLP;
 - B). This Contract Amendment 3 dated June 5, 2017;
 - C). The Contract Amendment 2 dated January 30, 2017 and Exhibit 2 – Timeline and Scope of Work dated January 30, 2017;
 - D). Business Associate Agreement as Attachment 1;
 - E). The Amendment dated May 11, 2010;
 - F). The Amendment 1 dated April 20, 2010;

- G). Exhibit 1 - Timeline dated April 20, 2010;
- H). The Request for Proposal (RFP) dated August 24, 2009 (including all appendices and amendments); and,
- I). Contractor's proposal dated October 9, 2009.

Contract Number & Service: ETJ0004 - Compliance Audit Services For Employee Reimbursement Account (ERA) and Health Savings Accounts (HSA) Benefit Programs

Amendment 3 dated June 5, 2017

State of Wisconsin
Department of Employee Trust Funds
By Authorized Board (Name) Employee Trust Funds Board
Signature 
Title Robert J. Conlin, Secretary Department of Employee Trust Funds
Phone 608.266.0301
Date (MM/DD/CCYY) 6/8/2017

Contractor to Complete
Legal Company Name Wipfli, LLP
Trade Name Wipfli, LLP
Taxpayer Identification Number 39-0758449
Company Address (City, State, Zip) 2501 West Beltline Hwy, Suite 401 PO Box 8700 Madison, WI 53708-8700
By (print Name) Zach Mayer
Signature 
Title Partner
Phone 608.270.2909
Date (MM/DD/CCYY) 06/05/2017